



# High-Performance and Scalable Updates: The Issaquah Challenge





#### **Overview**

- Before the Issaquah Challenge
- The Issaquah Challenge
- Aren't parallel updates a solved problem?
- Special case for parallel updates
  - -Per-CPU/thread processing
  - Read-only traversal to location being updated
  - -Existence-based updates
- The Issaquah Challenge: One Solution

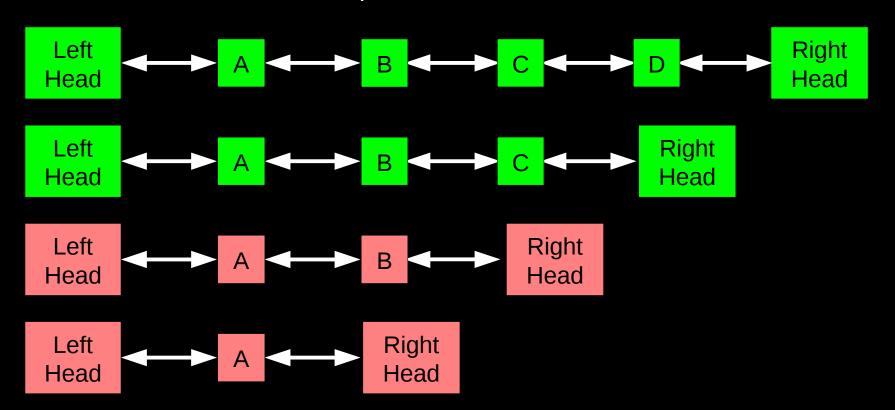


## **Before the Issaquah Challenge**



#### Before the Issaquah Challenge: Double-Ended Queue

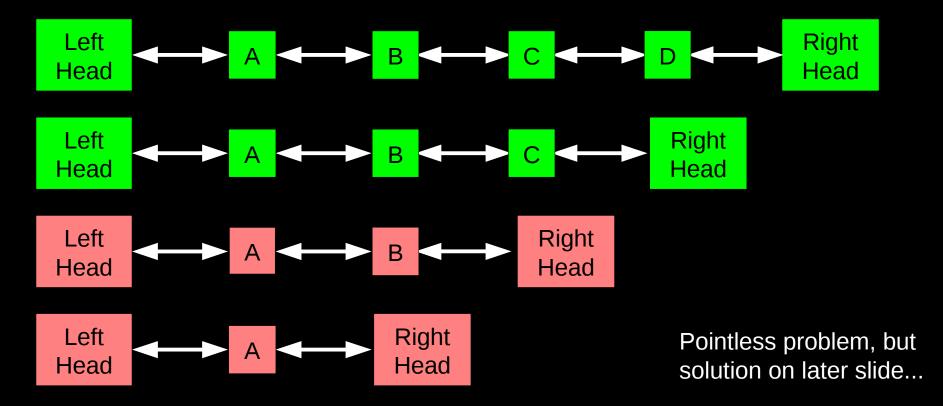
- Can you create a trivial lock-based deque allowing concurrent pushes and pops at both ends?
  - -Coordination required if the deque contains only one or two elements
  - -But coordination is not required for three or more elements





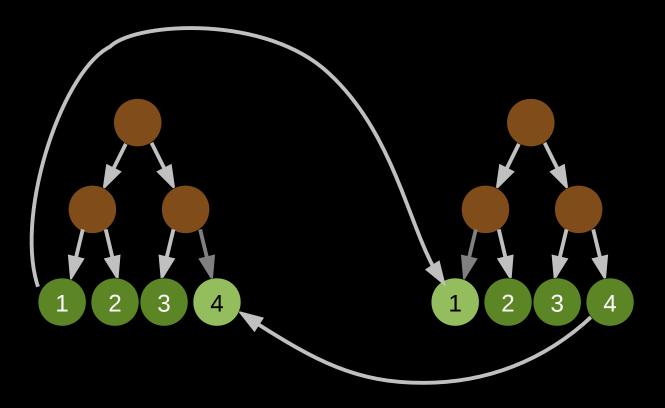
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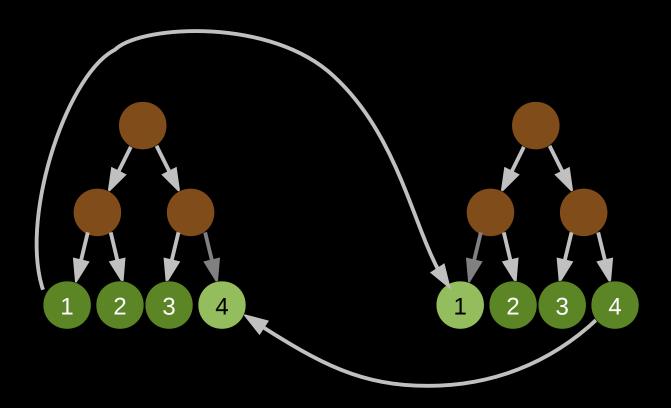






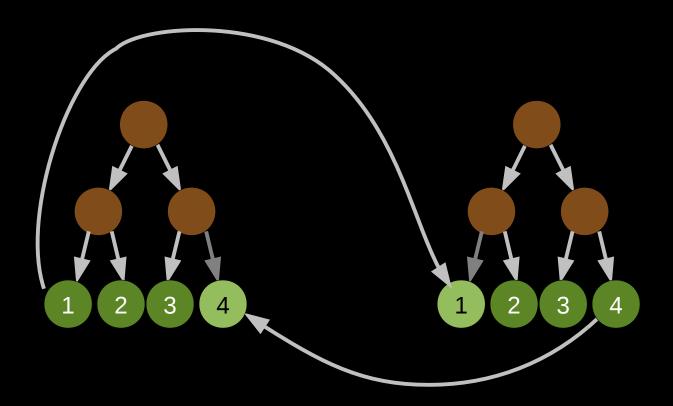
Atomically move element 1 from left to right tree Atomically move element 4 from right to left tree





Atomically move element 1 from left to right tree
Atomically move element 4 from right to left tree
Without contention between the two move operations!





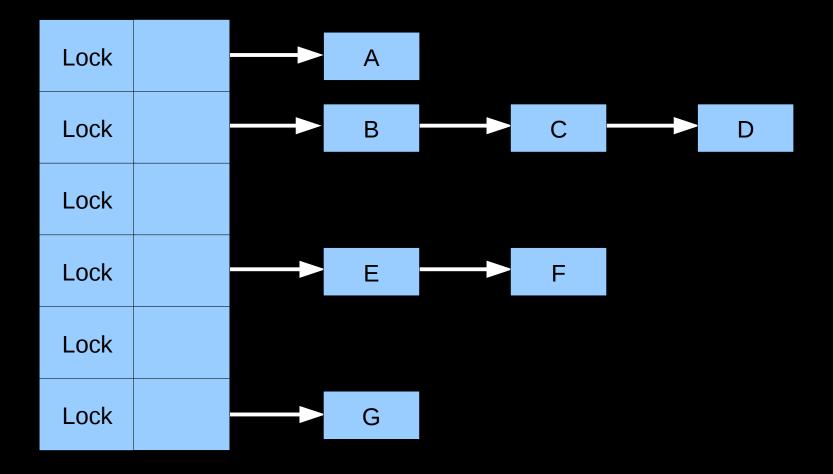
Atomically move element 1 from left to right tree
Atomically move element 4 from right to left tree
Without contention between the two move operations!
Hence, most locking solutions "need not apply"



#### **But Aren't Parallel Updates A Solved Problem?**



#### Parallel-Processing Workhorse: Hash Tables



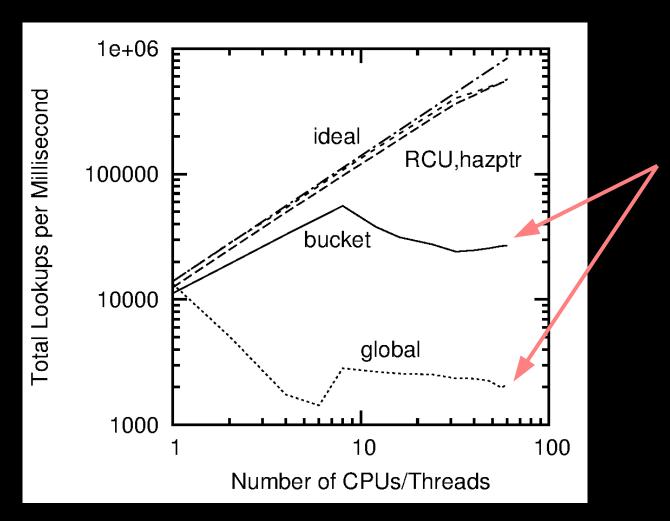
Perfect partitioning leads to perfect performance and stunning scalability!

In theory, anyway...

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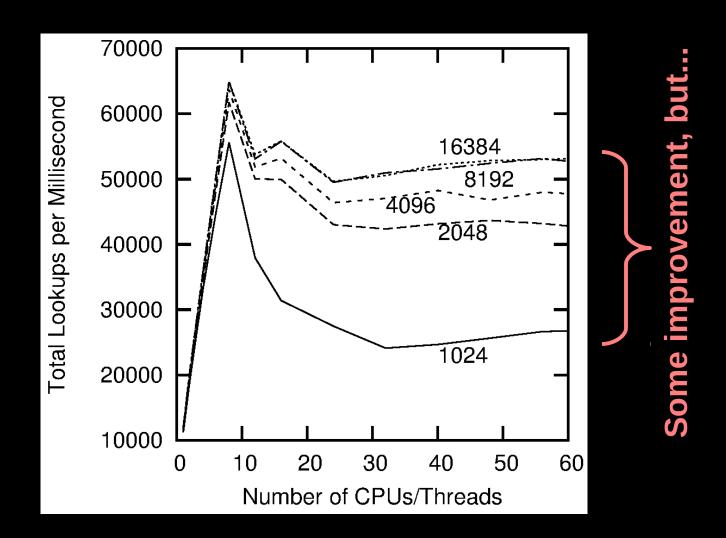


#### Read-Mostly Workloads Scale Well, Update-Heavy Workloads, Not So Much...



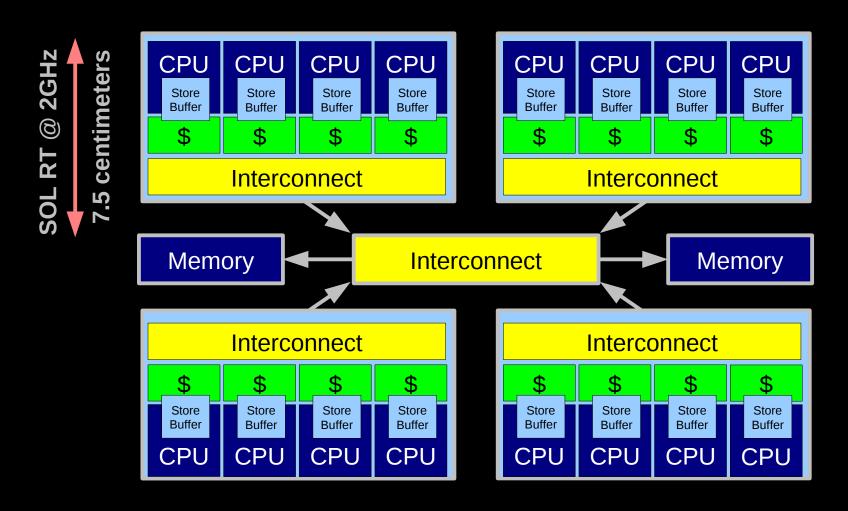


#### **But Hash Tables Are Partitionable!** # of Buckets?





#### **Hardware Structure and Laws of Physics**



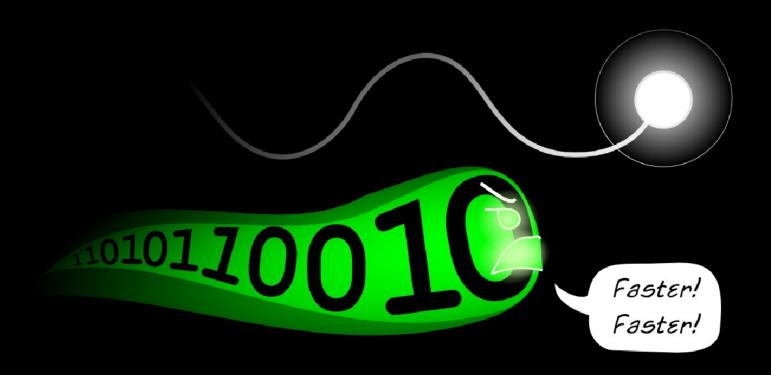
Electrons move at 0.03C to 0.3C in transistors and, so need locality of reference



#### **Two Problems With Fundamental Physics...**

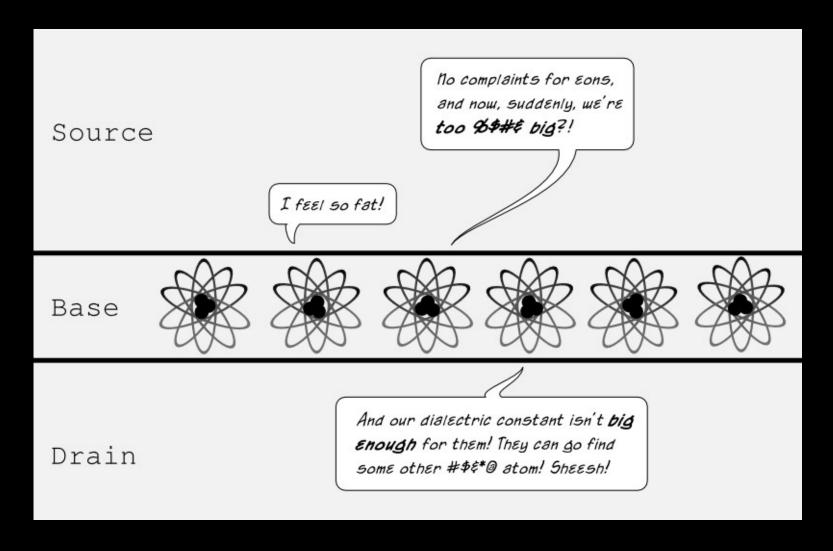


#### **Problem With Physics #1: Finite Speed of Light**



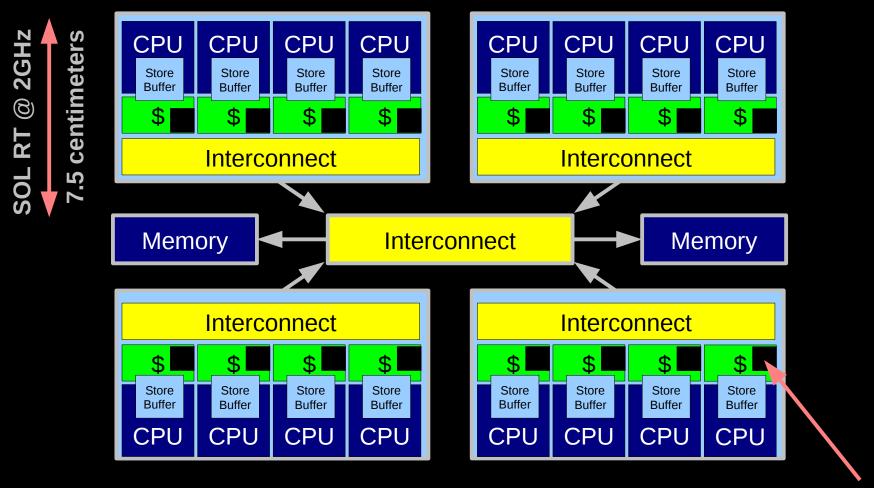


#### **Problem With Physics #2: Atomic Nature of Matter**





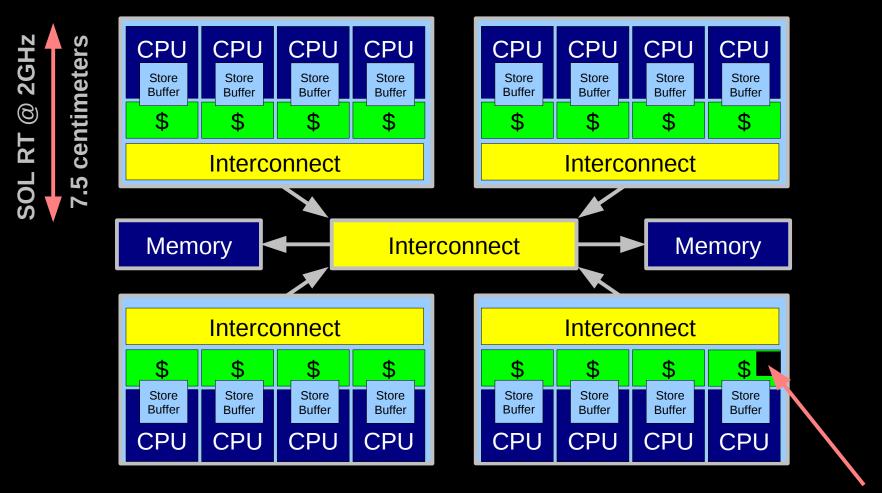
#### Read-Mostly Access Dodges The Laws of Physics!!!



Read-only data remains replicated in all caches



#### **Updates, Not So Much...**



Read-only data remains replicated in all caches, but each update destroys other replicas!





"Then don't do updates!"



- "Then don't do updates!"
- "But if I don't do updates, I run out of registers!"



- "Then don't do updates!"
- "But if I don't do updates, I run out of registers!"

We have no choice but to do updates, but we clearly need to be very careful with exactly *how* we do our updates



## Update-Heavy Workloads Painful for Parallelism!!! But There Are Some Special Cases...



#### **But There Are Some Special Cases**

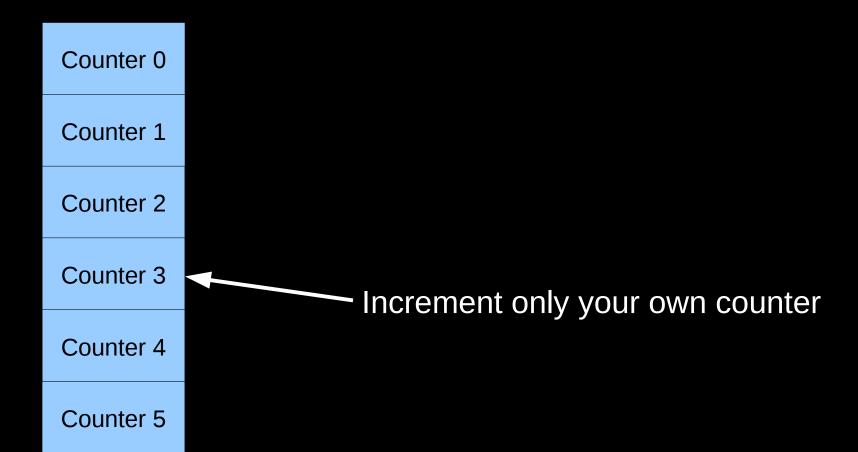
- Per-CPU/thread processing (perfect partitioning)
  - -Huge number of examples, including the per-thread/CPU stack
  - -We will look at split counters
- Read-only traversal to location being updated
  - -Key to solving the Issaquah Challenge
- Trivial Lock-Based Concurrent Deque???



## **Split Counters**

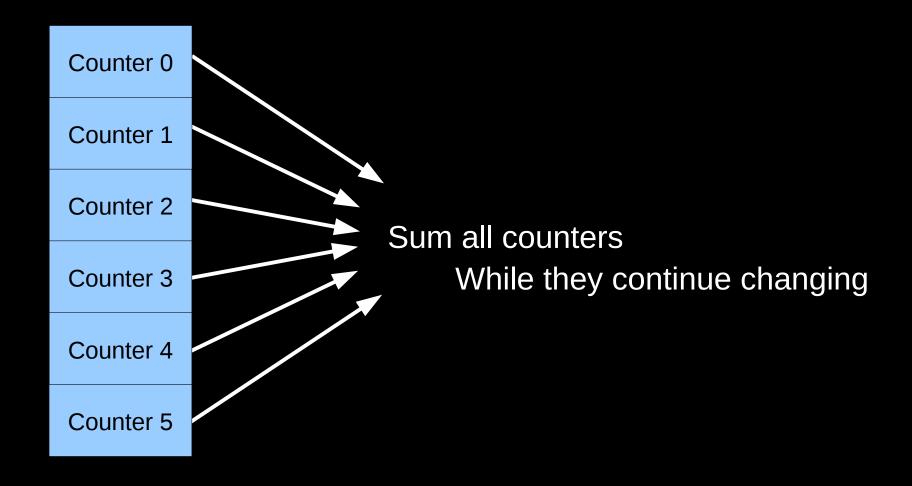


### **Split Counters Diagram**





### **Split Counters Diagram**





#### **Split Counters Lesson**

- ■Updates need not slow us down if we maintain good locality
- For the split counters example, in the common case, each thread only updates its own counter
  - -Reads of all counters should be rare
  - -If they are not rare, use some other counting algorithm
  - -There are a lot of them, see "Counting" chapter of "Is Parallel Programming Hard, And, If So, What Can You Do About It?" (http://kernel.org/pub/linux/kernel/people/paulmck/perfbook/perfbook.html)



## **Read-Only Traversal To Location Being Updated**



#### Why Read-Only Traversal To Update Location?

- Consider a binary search tree
- Classic locking methodology would:
  - 1) Lock root
  - 2) Use key comparison to select descendant
  - 3) Lock descendant
  - 4) Unlock previous node
  - 5) Repeat from step (2)
- The lock contention on the root is not going to be pretty!
  - And we won't get contention-free moves of independent elements, so this cannot be a solution to the Issaquah Challenge



#### And This Is Why We Have RCU!

- (You can also use garbage collectors, hazard pointers, reference counters, etc.)
- Design principle: Avoid expensive operations in read-side code
- Lightest-weight conceivable read-side primitives /\* Assume non-preemptible (run-to-block) environment. \*/ #define rcu\_read\_lock() #define rcu\_read\_unlock()



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- I assert that this gives the best possible performance, scalability, real-time response, wait-freedom, and energy efficiency
- But how can something that does not affect machine state possibly be used as a synchronization primitive???

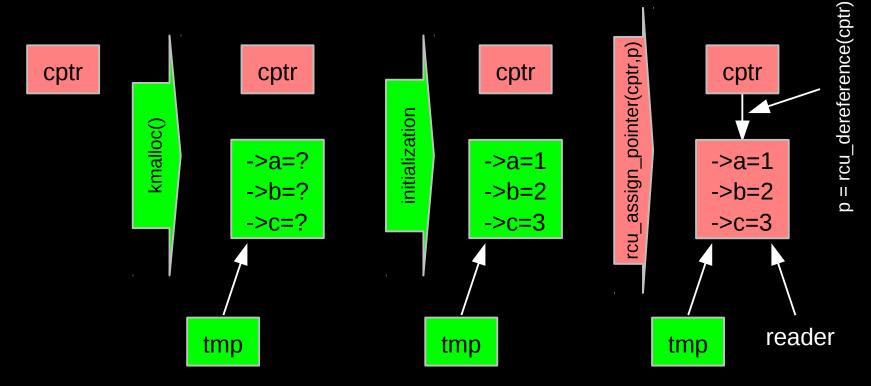


#### **RCU Addition to a Linked Structure**

Key: Dangerous for updates: all readers can access

Still dangerous for updates: pre-existing readers can access (next slide)

Safe for updates: inaccessible to all readers

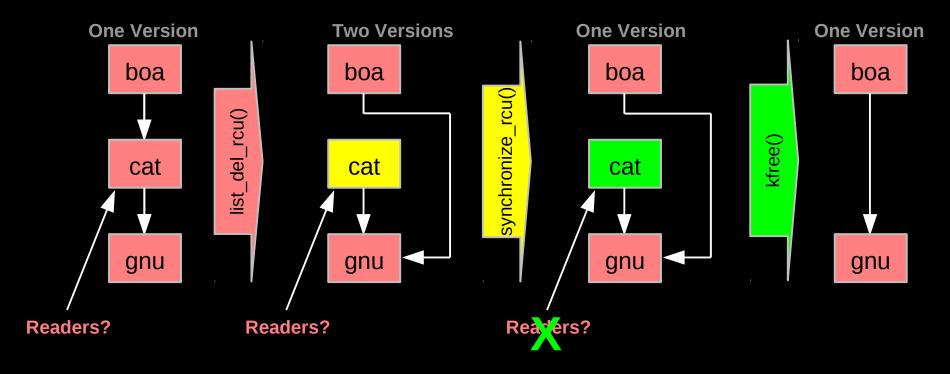


But if all we do is add, we have a big memory leak!!!



#### **RCU Safe Removal From Linked Structure**

- Combines waiting for readers and multiple versions:
  - Writer removes the cat's element from the list (list\_del\_rcu())
  - Writer waits for all readers to finish (synchronize\_rcu())
  - Writer can then free the cat's element (kfree())

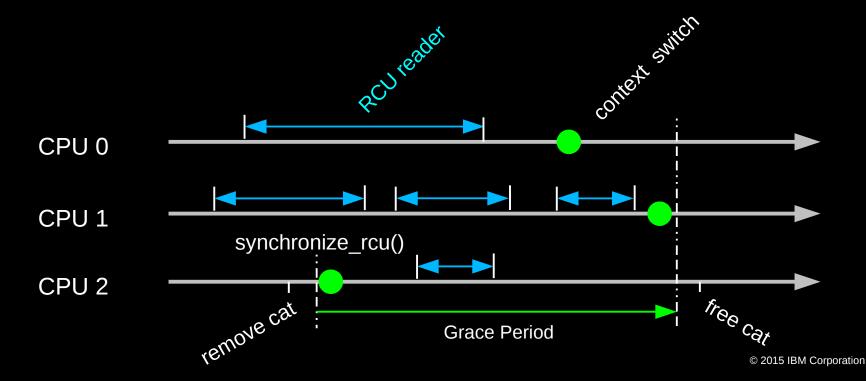


But if readers leave no trace in memory, how can we possibly tell when they are done???



### **RCU Waiting for Pre-Existing Readers: QSBR**

- Non-preemptive environment (CONFIG\_PREEMPT=n)
  - RCU readers are not permitted to block
  - Same rule as for tasks holding spinlocks
- CPU context switch means all that CPU's readers are done
- Grace period ends after all CPUs execute a context switch





### **Synchronization Without Changing Machine State???**

- But rcu\_read\_lock() and rcu\_read\_unlock() do not need to change machine state
  - Instead, they act on the developer, who must avoid blocking within RCU read-side critical sections



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- RCU is therefore synchronization via social engineering



# Synchronization Without Changing Machine State???

- But rcu\_read\_lock() and rcu\_read\_unlock() do not need to change machine state
  - Instead, they act on the developer, who must avoid blocking within RCU read-side critical sections
- RCU is therefore synchronization via social engineering
- As are all other synchronization mechanisms:
  - -"Avoid data races"
  - —"Access shared variables only while holding the corresponding lock"
  - -"Access shared variables only within transactions"
- RCU is unusual is being a purely social-engineering approach
  - -But RCU implementations for preemptive environments do use lightweight code in addition to social engineering



# **Better Read-Only Traversal To Update Location**



### **Better Read-Only Traversal To Update Location**

- An improved locking methodology might do the following:
  - -rcu\_read\_lock()
  - -Traversal:
    - Start at root without locking
    - Use key comparison to select descendant
    - Repeat until update location is reached
    - Acquire locks on update location
    - Do consistency checks, retry from root if inconsistent
  - -Carry out update
  - -rcu\_read\_unlock()
- Eliminates contention on root node!
- But need some sort of consistency-check mechanism...
  - -RCU protects against freeing, not necessarily removal
  - -"Removed" flags on individual data elements



#### **Deletion-Flagged Read-Only Traversal**

- •for (;;)
  - -rcu\_read\_lock()
  - -Start at root without locking
  - Use key comparison to select descendant
  - -Repeat until update location is reached
  - Acquire locks on update location
  - —If to-be-updated location's "removed" flag is not set:
    - Break out of "for" loop
  - -Release locks on update location
  - -rcu\_read\_unlock()
- Carry out update
- Release locks on update location and rcu\_read\_unlock()



### Read-Only Traversal To Location Being Updated

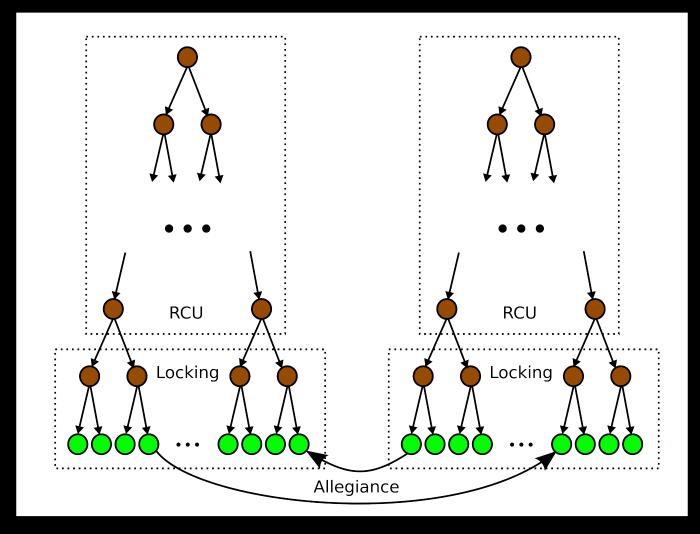
- Focus contention on portion of structure being updated
  - -And preserve locality of reference to different parts of structure
- Of course, full partitioning is better!
- Read-only traversal technique citations:
  - -Arbel & Attiya, "Concurrent Updates with RCU: Search Tree as an Example", PODC'14 (very similar lookup, insert, and delete)
  - -McKenney, Sarma, & Soni, "Scaling dcache with RCU", Linux Journal, January 2004
  - And possibly: Pugh, "Concurrent Maintenance of Skip Lists", University
    of Maryland Technical Report CS-TR-2222.1, June 1990
  - -And maybe also: Kung & Lehman, "Concurrent Manipulation of Binary Search Trees", ACM TODS, September, 1980



# **Issaquah Challenge: One Solution**

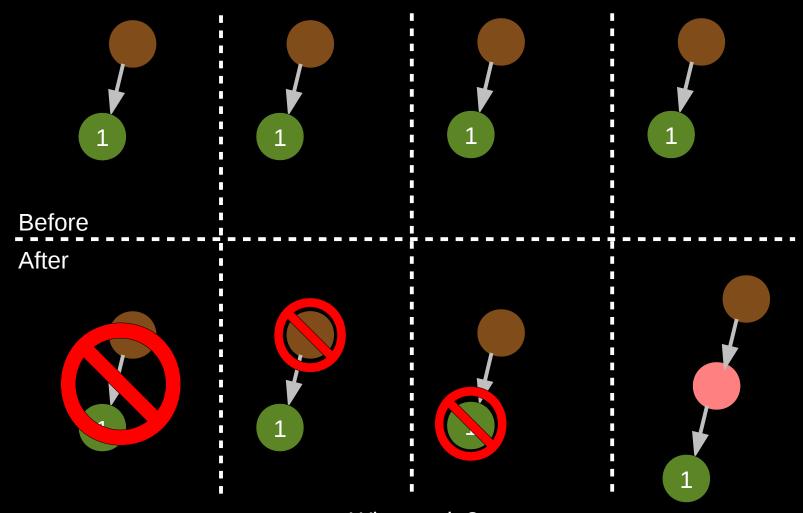


# **Locking Regions for Binary Search Tree**





# Possible Upsets While Acquiring Locks...



What to do?
Drop locks and retry!!!



#### **Existence Structures**

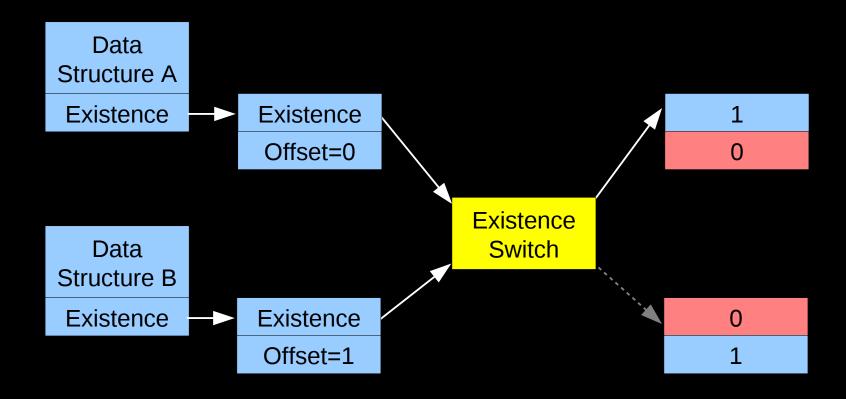


#### **Existence Structures**

Solving yet another computer-science problem by adding an additional level of indirection...

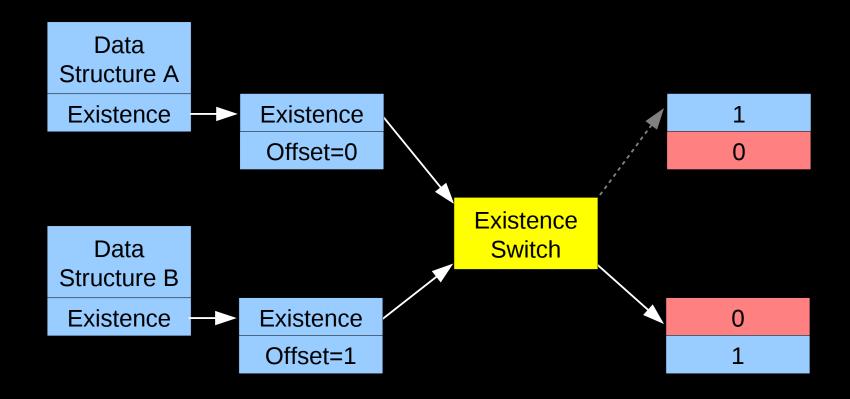


#### **Example Existence Structure Before Switch**





### **Example Existence Structure After Switch**



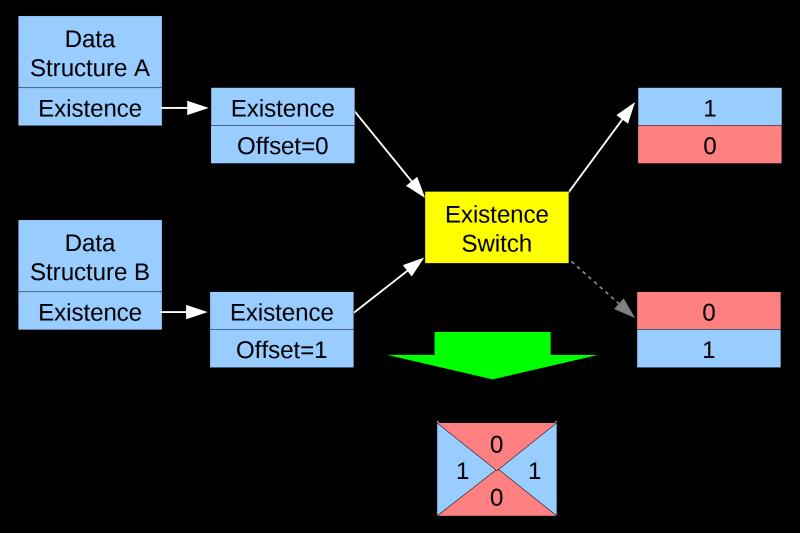


#### **Existence Structure Definition**

```
/* Existence-switch array. */
const int existence array[4] = \{1, 0, 0, 1\};
/* Existence structure associated with each moving structure. */
struct existence {
        const int **existence switch;
        int offset;
};
/* Existence-group structure associated with multi-structure change. */
struct existence_group {
        struct existence outgoing;
        struct existence incoming;
        const int *existence switch;
        struct rcu_head rh; /* Used by RCU asynchronous free. */
};
```



## **Example Existence Structure: Abbreviation**



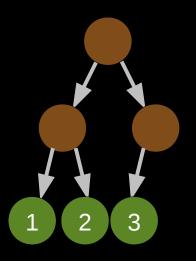


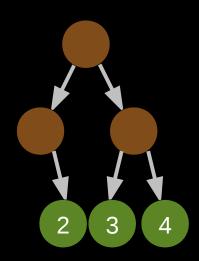
### **But Levels of Indirection Are Expensive!**

- And I didn't just add one level of indirection, I added three!
- But most of the time, elements exist and are not being moved
- So represent this common case with a NULL pointer
  - -If the existence pointer is NULL, element exists: No indirection needed
  - -Backwards of the usual use of a NULL pointer, but so it goes!
- In the uncommon case, traverse existence structure as shown on the preceding slides
  - -Expensive, multiple cache misses, but that is OK in the uncommon case
- There is no free lunch:
  - -With this optimization, loads need smp\_load\_acquire() rather than READ\_ONCE(), ACCESS\_ONCE(), or rcu\_dereference()
- Can use low-order pointer bits to remove two levels of indirection
  - -Kudos to Dmitry Vyukov for this trick



#### **Abbreviated Existence Switch Operation (1/6)**



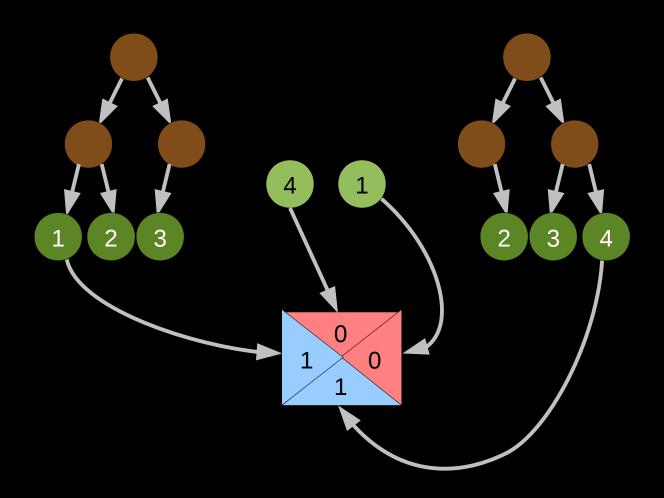


Initial state: First tree contains 1,2,3, second tree contains 2,3,4.

All existence pointers are NULL.



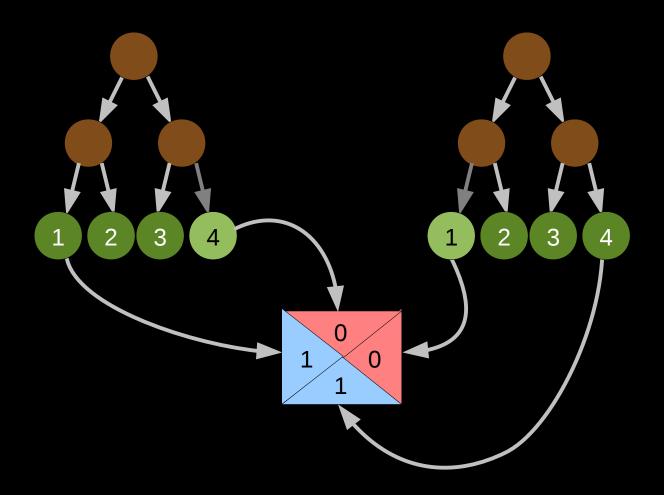
#### **Abbreviated Existence Switch Operation (2/6)**



First tree contains 1,2,3, second tree contains 2,3,4.



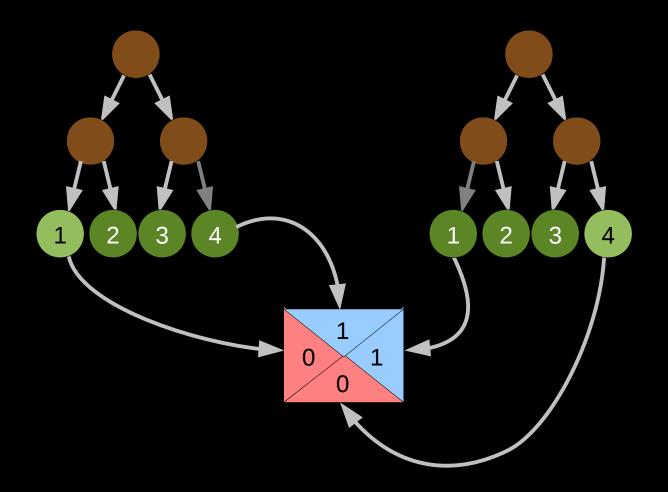
#### **Abbreviated Existence Switch Operation (3/6)**



After insertion, same: First tree contains 1,2,3, second tree contains 2,3,4.



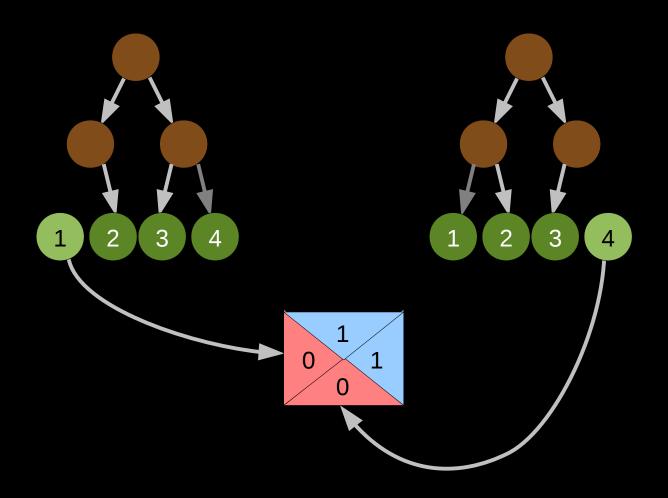
#### **Abbreviated Existence Switch Operation (4/6)**



After existence switch: First tree contains 2,3,4, second tree contains 1,2,3. Transition is single store, thus atomic! (But lookups need barriers in this case.)



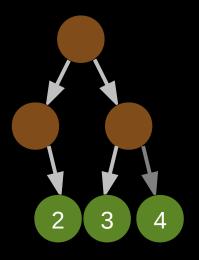
#### **Abbreviated Existence Switch Operation (5/6)**

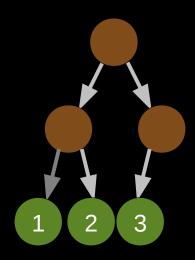


Unlink old nodes and allegiance structure



### **Abbreviated Allegiance Switch Operation (6/6)**





After waiting a grace period, can free up existence structures and old nodes And data structure preserves locality of reference!



#### **Existence Structures**

- Existence-structure reprise:
  - -Each data element has an existence pointer
  - -NULL pointer says "member of current structure"
  - -Non-NULL pointer references an existence structure
    - Existence of multiple data elements can be switched atomically
- But this needs a good API to have a chance of getting it right!
  - -Especially given that a NULL pointer means that the element exists!!!



#### **Existence APIs**

```
struct existence_group *existence_alloc(void);
void existence_free(struct existence_group *egp);
struct existence *existence_get_outgoing(struct existence_group *egp);
struct existence *existence_get_incoming(struct existence_group *egp);
void existence_set(struct existence **epp, struct existence *ep);
void existence_clear(struct existence **epp);
int existence_exists(struct existence **epp);
int existence_exists_relaxed(struct existence **epp);
void existence_switch(struct existence group *egp);
```



#### **Existence Operations for Trees**

Same tree algorithm with a few existence-oriented annotations

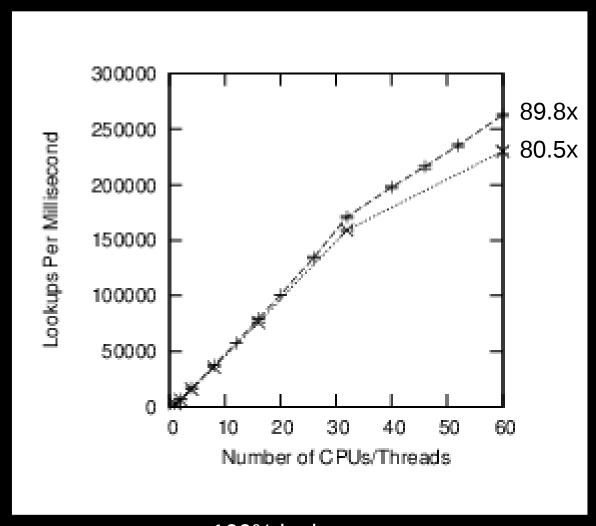


#### **Pseudo-Code for Atomic Tree Move**

- Allocate existence\_group structure (existence\_alloc())
- Add outgoing existence structure to item in source tree (existence\_set())
  - If operation fails, report error to caller
- Insert new element (with source item's data pointer) to destination tree with incoming existence structure (variant of tree\_insert())
  - If operation fails, remove existence structure from item in source tree, free and report error to caller
- Invoke existence\_switch() to flip incoming and outgoing
- Delete item from source tree (variant of tree\_delete())
- Remove existence structure from item in destination tree (existence\_clear())
- Free existence\_group structure (existence\_free())



#### **Existence Structures: Performance and Scalability**

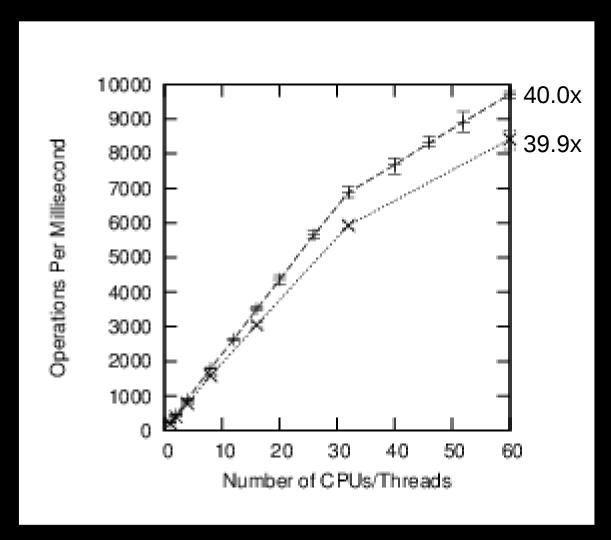


LCA CPPCON

100% lookups
Super-linear as expected based on range partitioning
(Hash tables about 3x faster)



#### **Existence Structures: Performance and Scalability**



LCA CPPCON

90% lookups, 3% insertions, 3% deletions, 3% full tree scans, 1% moves (Workload approximates Gramoli et al. CACM Jan. 2014)

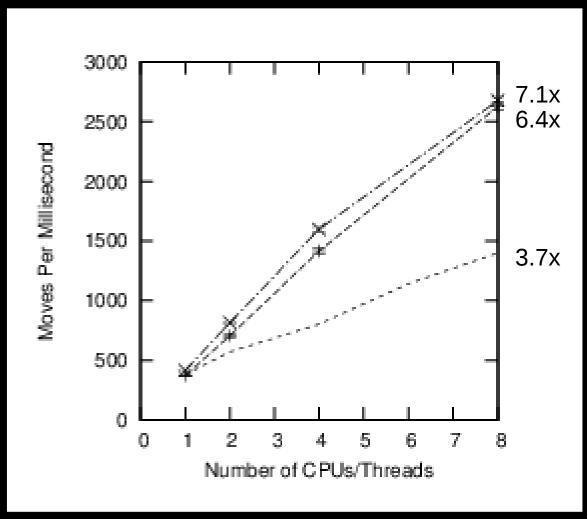


LCA

**CPPCON** 

N4037

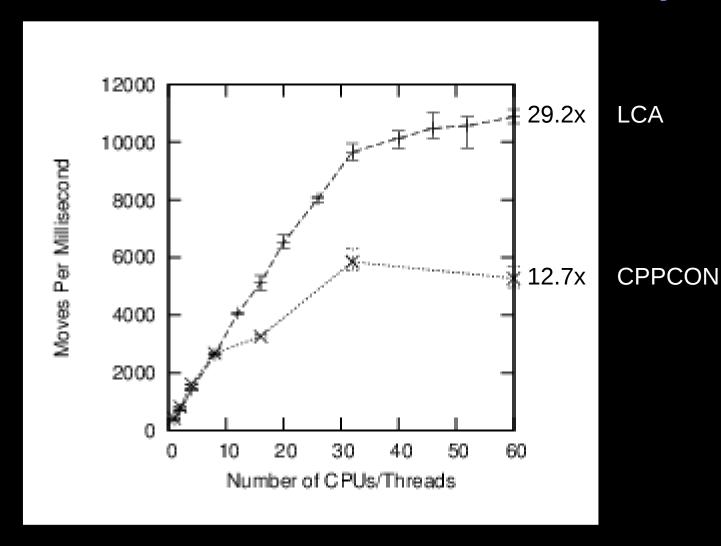
### **Existence Structures: Performance and Scalability**



100% moves (worst case)



#### **Existence Structures: Performance and Scalability**



100% moves: Still room for improvement!
But at least we are getting positive scalability...



### **Existence Structures: Towards Update Scalability**

- "Providing perfect performance and scalability is like committing the perfect crime. There are 50 things that might go wrong, and if you are a genius, you might be able to foresee and forestall 25 of them." — Paraphrased from Body Heat, with apologies to Kathleen Turner fans
- Issues thus far:
  - Getting possible-upset checks right
  - Non-scalable random-number generator
  - Non-scalable memory allocator
  - Node alignment (false sharing)
  - Premature deletion of moved elements (need to remove allegiance!)
  - Unbalanced trees (false sharing)
  - User-space RCU configuration (need per-thread call\_rcu() handling)
  - Getting memory barriers correct (probably more needed here)
  - Threads working concurrently on adjacent elements (false sharing)
  - Need to preload destination tree for move operations (contention!)
  - Issues from less-scalable old version of user-space RCU library
  - More memory-allocation tuning
  - Wakeup interface to user-space RCU library (instead of polling)
  - More URCU tuning
- Next steps: More detailed profiling for poorly scaling scenarios



### **Existence Advantages and Disadvantages**

- Existence requires focused developer effort
- Existence specialized to linked structures (for now, anyway)
- Existence requires explicit memory management
  - -Might eventually be compatible with shared pointer, but not yet
- Existence-based exchange operations require linked structures that accommodate duplicate elements
  - -Current prototypes disallow duplicates
- Existence permits irrevocable operations
- Existence can exploit locking hierarchies, reducing the need for contention management
- Existence achieves semi-decent performance and scalability
- Existence's use of synchronization primitives preserves locality of reference
- Existence is compatible with old hardware
- Existence is a downright mean memory-allocator and RCU test case!!!



# When Might You Use Existence-Based Update?

- We really don't know yet
  - -But similar techniques are used by Linux-kernel filesystems
- Best guess is when one or more of the following holds and you are willing to invest significant developer effort to gain performance and scalability:
  - -Many small updates to large linked data structure
  - Complex updates that cannot be efficiently implemented with single pointer update
  - -Need compatibility with hardware not supporting transactional memory
  - -Need to be able to do irrevocable operations (e.g., I/O) as part of datastructure update

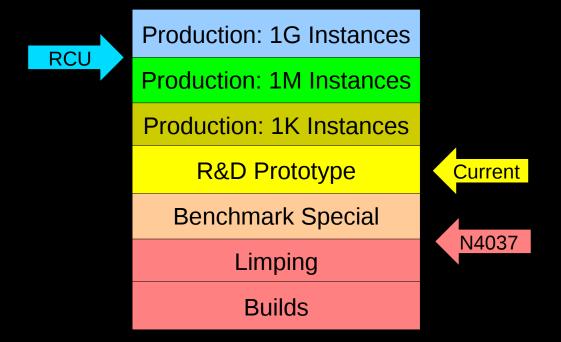


#### **Existence Structures: Production Readiness**



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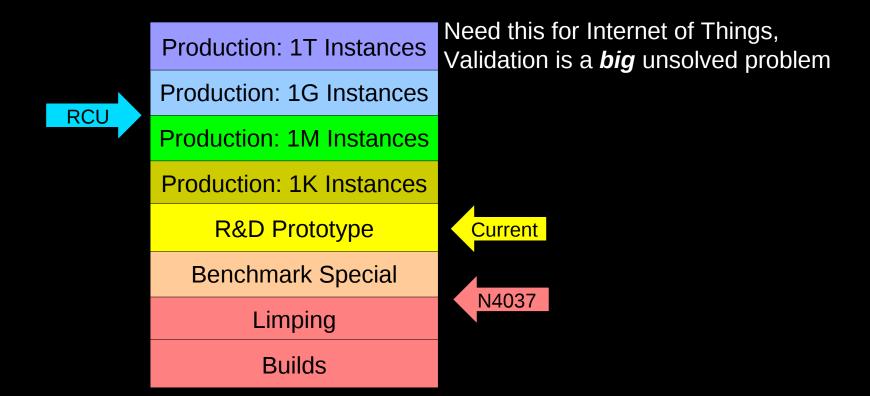
- No, it is *not* production ready (but getting there)
  - -In happy contrast to a few months ago...





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## **Existence Structures: Known Antecedents**

- Fraser: "Practical Lock-Freedom", Feb 2004
  - -Insistence on lock freedom: High complexity, poor performance
  - -Similarity between Fraser's OSTM commit and existence switch
- McKenney, Krieger, Sarma, & Soni: "Atomically Moving List Elements Between Lists Using Read-Copy Update", Apr 2006
  - -Block concurrent operations while large update is carried out
- Triplett: "Scalable concurrent hash tables via relativistic programming", Sept 2009
- Triplett: "Relativistic Causal Ordering: A Memory Model for Scalable Concurrent Data Structures", Feb 2012
  - -Similarity between Triplett's key switch and allegiance switch
  - Could share nodes between trees like Triplett does between hash chains, but would impose restrictions and API complexity

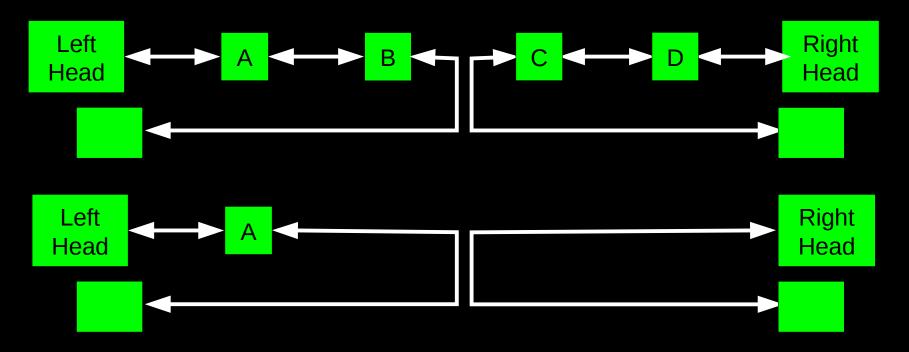


# **Trivial Lock-Based Concurrent Deque**



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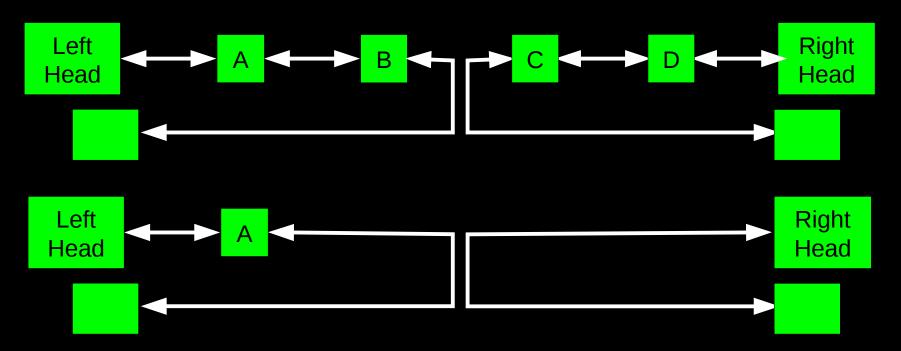
- Use two lock-based dequeues
  - -Can always insert concurrently: grab dequeue's lock
  - -Can always remove concurrently unless one or both are empty
    - If yours is empty, grab both locks in order!





## **Trivial Lock-Based Concurrent Deque**

- Use two lock-based dequeues
  - -Can always insert concurrently: grab dequeue's lock
  - -Can always remove concurrently unless one or both are empty
    - If yours is empty, grab both locks in order!
- But why push all your data through one deque???





# **Summary**



## **Summary**

- There is currently no silver bullet:
  - -Split counters
    - Extremely specialized
  - -Per-CPU/thread processing
    - Not all algorithms can be efficiently partitioned
  - -Stream-based applications
    - Specialized
  - -Read-only traversal to location being updated
    - Great for small updates to large data structures, but limited otherwise
  - Hardware lock elision
    - Some good potential, and some potential limitations
- Linux kernel: Good progress by combining approaches
- Lots of opportunity for collaboration and innovation



## To Probe Deeper (1/4)

- Hash tables:
  - http://kernel.org/pub/linux/kernel/people/paulmck/perfbook/perfbook.html Chapter 10
- Split counters:
  - http://kernel.org/pub/linux/kernel/people/paulmck/perfbook/perfbook.html Chapter 5
  - http://events.linuxfoundation.org/sites/events/files/slides/BareMetal.2014.03.09a.pdf
- Perfect partitioning
  - Candide et al: "Dynamo: Amazon's highly available key-value store"
    - http://doi.acm.org/10.1145/1323293.1294281
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    - http://research.sun.com/scalable/pubs/PODC03.pdf
  - Shavit et al: "Data structures in the multicore age"
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  - Haas et al: "How FIFO is your FIFO queue?"
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## To Probe Deeper (4/4)

#### RCU

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- McKenney et al.: "RCU Usage In the Linux Kernel: One Decade Later"
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#### Possible future additions

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- Clements et al: "The Scalable Commutativity Rule: Designing Scalable Software for Multicore Processors"
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- McKenney: "C++ Memory Model Meets High-Update-Rate Data Structures"
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# **Questions?**





## **BACKUP**



# Toy Implementation of RCU: 20 Lines of Code, Full Read-Side Performance!!!

Read-side primitives:

Update-side primitives

Only 9 of which are needed on sequentially consistent systems... And some people still insist that RCU is complicated...;-)



## **RCU Usage: Readers**

 Pointers to RCU-protected objects are guaranteed to exist throughout a given RCU read-side critical section

```
rcu_read_lock(); /* Start critical section. */
p = rcu_dereference(cptr); /* consume load */
/* *p guaranteed to exist. */
do_something_with(p);
rcu_read_unlock(); /* End critical section. */
/* *p might be freed!!! */
```

- The rcu\_read\_lock(), rcu\_dereference() and rcu\_read\_unlock() primitives are very light weight
- However, updaters must use more care...



## **RCU Usage: Updaters**

Updaters must wait for an RCU grace period to elapse between making something inaccessible to readers and freeing it

```
spin_lock(&updater_lock);
q = cptr; /* Can be relaxed load. */
rcu_assign_pointer(cptr, newp); /* store release */
spin_unlock(&updater_lock);
synchronize_rcu(); /* Wait for grace period. */
kfree(q);
```

 RCU grace period waits for all pre-exiting readers to complete their RCU read-side critical sections



What must happen for HTM to take over the world?



- Forward-progress guarantees
  - -Mainframe is a start, but larger sizes would be helpful
- Transaction-size increases
- Improved debugging support
  - -Gottschich et al: "But how do we really debug transactional memory?"
- Handle irrevocable operations (unbuffered I/O, syscalls, ...)
- Weak atomicity



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- ■Weak atomicity but of course the Linux-kernel RCU maintainer and weak-memory advocate would say that...



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  - -Gottschich et al: "But how do we really debug transactional memory?"
- Handle irrevocable operations (unbuffered I/O, syscalls, ...)
- Weak atomicity: It is not just me saying this!
  - Herlihy et al: "Software Transactional Memory for Dynamic-Sized Data Structures"
  - Shavit: "Data structures in the multicore age"
  - Haas et al: "How FIFO is your FIFO queue?"
  - Gramoli et al: "Democratizing transactional memory"
- With these additions, much greater scope possible